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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/992,655
Filing Date	November 13, 2001
First Named Inventor	Mark A. Roesch et al.
Art Unit	2831
Examiner Name	Angel R. Estrada
Attorney Docket Number	427600600049

Total Number of Pages in This Submission 11

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Statements *
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Certificate of Correction
<input type="checkbox"/> Certified Copy of Priority Document(s) *	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application *	Statements of David A. Maccarone, Mark A. Roesch, Dennis P. Revlock, Sr., and Assignee	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Statement Under 37 C.F.R. §3.73(b)	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Lorri W. Cooper Jones Day
Signature	<i>Lorri W. Cooper</i> Reg No 40038
Date	April 19, 2004

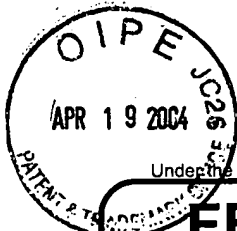
CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Kathie J. Kopczyk		
Signature	<i>Kathie J. Kopczyk</i>	Date	April 19, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**230.00**

Complete if Known

Application Number	09/992,655
Filing Date	November 13, 2001
First Named Inventor	Mark A. Roesch et al.
Examiner Name	Angel R. Estrada
Art Unit	2831
Attorney Docket No.	427600600049

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

501432

Jones Day

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)**-0-**

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Fee Code	Small Entity Fee Code	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**-0-**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051 130		2051 65		Surcharge - late filing fee or oath	
1052 50		2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130		1053 130		Non-English specification	
1812 2,520		1812 2,520		For filing a request for <i>ex parte</i> reexamination	
1804 920*		1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840*		1805 1,840*		Requesting publication of SIR after Examiner action	
1251 110		2251 55		Extension for reply within first month	
1252 420		2252 210		Extension for reply within second month	
1253 950		2253 475		Extension for reply within third month	
1254 1,480		2254 740		Extension for reply within fourth month	
1255 2,010		2255 1,005		Extension for reply within fifth month	
1401 330		2401 165		Notice of Appeal	
1402 330		2402 165		Filing a brief in support of an appeal	
1403 290		2403 145		Request for oral hearing	
1451 1,510		1451 1,510		Petition to institute a public use proceeding	
1452 110		2452 55		Petition to revive - unavoidable	
1453 1,330		2453 665		Petition to revive - unintentional	
1501 1,330		2501 665		Utility issue fee (or reissue)	
1502 480		2502 240		Design issue fee	
1503 640		2503 320		Plant issue fee	
1460 130		1460 130		Petitions to the Commissioner	130
1807 50		1807 50		Processing fee under 37 CFR 1.17(q)	
1806 180		1806 180		Submission of Information Disclosure Stmt	
8021 40		8021 40		Recording each patent assignment per property (times number of properties)	
1809 770		2809 385		Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770		2810 385		For each additional invention to be examined (37 CFR 1.129(b))	
1801 770		2801 385		Request for Continued Examination (RCE)	
1802 900		1802 900		Request for expedited examination of a design application	

Other fee (specify) **Certificate of Correction 100**

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**230.00**

SUBMITTED BY

Name (Print/Type) **Lorci W. Cooper**

Registration No.
(Attorney/Agent)

40,038

(Complete if applicable)

Telephone **216-586-7097**

Signature

Lorci W. Cooper

Date

4-19-04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT

Docket No.: 427600600049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Roesch et al.
Patent No. : 6,710,245
Issued : March 23, 2004
Entitled : **GANGABLE ELECTRICAL UNIT**
Application No. : 09/992,655
Filed : November 13, 2001
Group Art Unit : 2831
Examiner : Angel R. Estrada

**PETITION FOR CORRECTION OF INVENTORSHIP OF PATENT
AND FOR ISSUANCE OF A CERTIFICATE OF CORRECTION**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 35 U.S.C. § 256, Patentee hereby petitions for the correction of inventorship of the above-identified Patent to add David A. Maccarone as a co-inventor. This petition is accompanied by:

- 1) A Statement of David A. Maccarone, stating that the error in failing to include him as an inventor occurred without any deceptive intent on his part;
- 2) A Statement of Mark A. Roesch, who is currently the first named inventor of the Patent, agreeing to the change of inventorship;
- 3) A Statement of Dennis P. Revlock, Sr., who is currently the second and last named inventor for the Patent, agreeing to the change of inventorship;
- 4) A Statement of Assignee, The Lamson & Sessions Company, agreeing to the change of inventorship;

04/26/2004 WABDELRI 00000002 6710245

01 FC:1460 130.00 DA
02 FC:1811 100.00 DA

CLI-1184103v1

1/26/2004 WABDELRI 00000002-581432 6210245

FC:1460 130.00-DA
FC:1811 100.00-DA

04/22/2004 WABDELRI 00000006 581432 09992655

01-FC:1460 130.00-DA

Adjustment date: 04/26/2004 WABDELRI 09992655
04/22/2004 WABDELRI 00000006 581432 09992655
01 FC:1460 130.00-DA

5) A Statement under 37 U.S.C. § 3.73(b) of the Assignee, The Lamson & Sessions Company; and

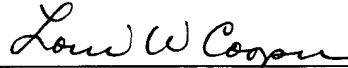
6) The fee set forth in 37 CFR §1.20(b), in the amount of \$ 130.00, for this petition.

In addition, pursuant to 35 U.S.C. § 255, applicant encloses a Certificate of Correction noting the addition of David A. Maccarone as an inventor for the Patent. Because the mistake in inventorship was made by the applicant, Patentee also encloses the fee required under 37 CFR § 1.323, as set forth in 37 CFR §1.20(a), in the amount of \$ 100.00, for issuance of the Certificate of Correction.

Patentee respectfully requests the granting of this Petition and the issuance of a Certificate of Correction.

Respectfully submitted,

Date: 4/19/04


Lorri W. Cooper, Reg. No. 40,038
Jones Day
901 Lakeside Avenue
Cleveland, OH 44114
(216) 586-7097

Enclosures



PATENT
Docket No.: 427600600049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Roesch et al.
Patent No. : 6,710,245
Issued : March 23, 2004
Entitled : **GANGABLE ELECTRICAL UNIT**
Application No. : 09/992,655
Filed : November 13, 2001
Group Art Unit : 2831
Examiner : Angel R. Estrada

STATEMENT OF DAVID A. MACCARONE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I am a U.S. citizen and my home address is 2669 Doug Avenue, Hudson, Ohio
44236.

An inventorship error occurred in connection with the above-identified Patent by
failing to include me, David A. Maccarone, as a co-inventor with Mark A. Roesch and Dennis P.
Revlock, Sr. The inventorship error occurred without any deceptive intent on my part.

Respectfully submitted,

Date: 4-15-04


David A. Maccarone



PATENT
Docket No.: 427600600049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Roesch et al.
Patent No. : 6,710,245
Issued : March 23, 2004
Entitled : **GANGABLE ELECTRICAL UNIT**
Application No. : 09/992,655
Filed : November 13, 2001
Group Art Unit : 2831
Examiner : Angel R. Estrada

STATEMENT OF MARK A. ROESCH

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I am an inventor of the above-identified Patent. An inventorship error occurred in connection with the Patent in failing to include David A. Maccarone as a co-inventor. I agree to a change of inventorship to add David A. Maccarone as a co-inventor of the Patent.

Respectfully submitted,

Date: 4-15-04

Mark A. Roesch
Mark A. Roesch



PATENT
Docket No.: 427600600049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Roesch et al.
Patent No. : 6,710,245
Issued : March 23, 2004
Entitled : **GANGABLE ELECTRICAL UNIT**
Application No. : 09/992,655
Filed : November 13, 2001
Group Art Unit : 2831
Examiner : Angel R. Estrada

STATEMENT OF DENNIS P. REVLOCK, SR.

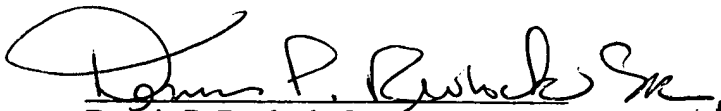
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I am an inventor of the above-identified Patent. An inventorship error occurred in connection with the Patent in failing to include David A. Maccarone as a co-inventor. I agree to a change of inventorship to add David A. Maccarone as a co-inventor of the Patent.

Respectfully submitted,

Date: 4-17-04


Dennis P. Revlock, Sr.



PATENT
Docket No.: 427600600049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Roesch et al.
Patent No. : 6,710,245
Issued : March 23, 2004
Entitled : **GANGABLE ELECTRICAL UNIT**
Application No. : 09/992,655
Filed : November 13, 2001
Group Art Unit : 2831
Examiner : Angel R. Estrada

STATEMENT OF ASSIGNEE

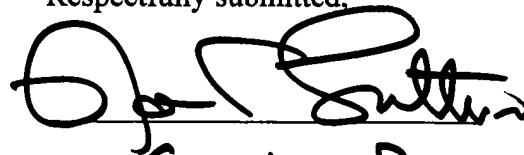
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

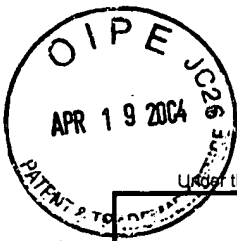
Sir:

The Lamson & Sessions Company is the assignee and owner of the above-identified patent, as evidenced by the accompanying Statement under 37 C.F.R. § 3.73(b). The Lamson & Sessions Company agrees to a change in inventorship to add David A. Maccarone as an inventor of the Patent.

Respectfully submitted,

Date: 4/16/04


Title: Sr. Vice President



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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: The Lamson & Sessions Company

Application No./Patent No.: 6,710,245 Filed/Issue Date: March 23, 2004

Entitled: GANGABLE ELECTRICAL UNIT

The Lamson & Sessions Company, a corporation of Ohio
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012332, Frame 0250, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

4-19-04
Date
216-586-7097
Telephone number

Lorri W. Cooper
Typed or printed name
Lorri W. Cooper
Signature
Attorney for Assignee
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,710,245
DATED : March 23, 2004
INVENTOR(S) : Mark A. Roesch et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page, Item [75], Inventors:

On petition requesting issuance of a certificate of correction of inventorship pursuant to 35 U.S.C. 256, it has been found that the above-identified patent, through error and without deceptive intent, improperly sets forth the inventorship. Accordingly it is hereby certified that the correct inventorship of this patent is:

Mark A. Roesch, Brecksville; Dennis P. Revlock, Sr., Medina; David A. Maccarone, Hudson, all of OH (US)

Supervisory Patent Examiner
Art Unit _____

MAILING ADDRESS OF SENDER:

Lorri W. Cooper, Reg. No. 40,038
Jones Day, 901 Lakeside Avenue
Cleveland, OH 44114

PATENT NO. 6,710,245

No. of additional copies

➡ 3

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